ALSTOM INDIA LIMITED

SHAREHOLDING PATTERN FOR THE QUARTER ENDED 30 JUNE 2016

S. NO.	PARTICULARS		DETAILS
1	Name of Listed Entity	:	ALSTOM INDIA LIMITED
2	Scrip Code Name of Scrip Class of Security	: :	532309 AIL EQUITY SHARES
3	Share Holding Pattern Filed under	:	REG. 31(1)(b)
4	Declaration		
а	Whether any partly paid up shares issued?	:	NO
b	Whether any Convertible Securities or Warrants issued?	:	NO
С	Whether there are any shares against which depository receipts are issued?	:	NO
d	Whether any shares are in locked-in?	:	NO
е	Whethere any shares held by promoters are pledged or otherwise encumbered?	:	NO

5 The tabular format for disclosure of holding of specified securities is enclosed.

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held			Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR,1957)		of securities		Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a	n of ble (as a				Number of equity shares held in dematerialized form
								No of Voti Class - Equity Shares	No of Voting Rights Total as a % Class - Equity Total of (A+B+C)			percentage of diluted share capital)	No.	As a % of total Shares held	No.	As a % of total Shares held	
(1)	(11)	(111)	(IV)	(V)	(VI)	(VII)	(VIII) As % of (A+B+C2)		(IX)		(X)	(XI) = (VII) + (X) As % of (A+B+C2)		(XII)		(XIII)	(XIV)
(A) (B)	Promoter & Promoter Group Public	2 41.737	46,102,083		-	46,102,083 21,125,388	68.58 31.42	46,102,083 21,125,388	46,102,083 21,125,388		-	68.58 31.42	-	-	- NA	- NA	46,102,083 20,105,311
(C) (C1)	Non Promoter-Non Public Shares underlying DRs	-	-	-	-	-	NA NA	-	-	-	-	NA NA	-	-	NA	NA NA	-
(C2)	Shares held by Employes Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Total	41,739	67,227,471	-	-	67,227,471	100.00	67,227,471	67,227,471	100.00	-	100.00	-	-			66,207,394

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholders	No of Shareholders	No of fully paid up equity shares held	paid-up equity		Shares Held (IV+V+VI)					No of Shares Shareholding as a Underlying % assuming full Outstanding conversion of convertible securities securities Securities (as a			r of Locked in Shares	pledged	er of Shares I or otherwise umbered	Number of equity shares held in dematerialized form
								No of Votin Class - Equity Shares	ng Rights Total	Total as a % of Total voting rights	(Including Warrants)	percentage of diluted share capital)	No.	As a % of total Shares held	No.	As a % of total Shares held	
	(1)	(111)	(IV)	(V)	(VI)	(VII)	(VIII)		(IX)		(X)	(XI) = (VII) + (X) As a % of (A+B+C2)		(XII)		(XIII)	(XIV)
(1)	Indian						1									<u> </u>	<u> </u>
(a)	Individuals/Hindu undivided Family	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Central Government/State Government(s)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions/Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(2)	Foreign						1		-								
(a)	Individuals (Non-Resident Individuals/Foreian Individuals)	-	-	-	-	-	-	-	-	-	-	-		-		-	-
(b)	Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other																
(i)	ALSTOM INDIA TRACKING B.V. (FORMERLY ALSTOM FINANCE B.V.)	1	46,088,294	-	-	46,088,294	68.56	46,088,294	46,088,294	68.56	-	68.56	-	-	-	-	46,088,294
	GE ENERGY EUROPE B.V.	1	13,789	-	-	13,789	0.02	13,789	13,789	0.02	-	0.02	-	-	-	-	13,789
	Sub-Total (A)(2)	2	46,102,083	-	-	46,102,083	68.58	46,102,083	46,102,083	68.58	-	68.58	-	-	-	-	46,102,083
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	2	46,102,083	-	-	46,102,083	68.58	46,102,083	46,102,083	68.58	-	68.58	-	-	-	-	46,102,083

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholders	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Total No of Shareholding as Number of Voting Rights held in each class Underlying Shares Held a % of total no of securities Depository (IV+V+VI) shares (A+B+C2) securities						No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)		er of Locked in Shares	pledg	nber of Shares ed or otherwise ncumbered	Number of equity shares held in dematerialized form
								No of Voting Rights		Total as a % of Total Voting			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class - Equity Shares	Total	Rights				neid		neia	1
	(1)	(111)	(IV)	(V)	(VI)	(VII)	(VIII)		(IX)	r.	(X)	(XI)		(XII)		(XIII)	(XIV)
(1)	Institutions																<u>├</u> ────┦
(a)	Mutual Funds	49	8,329,899	-	-	8,329,899	12.39	8,329,899	8,329,899	12.39	-	12.39	-	-	NA	NA	8,329,699
	BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED THROUGH ITS VARIOUS ACCOUNTS	7	1,437,862	-	-	1,437,862	2.14	1,437,862	1,437,862	2.14	-	2.14	-	-	NA	NA	1,437,862
	RELIANCE CAPITAL TRUSTEE CO LTD. THROUGH ITS VARIOUS ACCOUNTS	9	3,672,672	-	-	3,672,672	5.46	3,672,672	3,672,672	5.46	-	5.46	-	-	NA	NA	3,672,672
	SUNDARAM MUTUAL FUND THROUGH ITS VARIOUS ACCOUNTS	14	1,048,080	-	-	1,048,080	1.56	1,048,080	1,048,080	1.56	-	1.56	-	-	NA	NA	1,048,080
	SBI THROUGH ITS VARIOUS ACCOUNTS	3	1,232,000	-	-	1,232,000	1.83	1,232,000	1,232,000	1.83	-	1.83	-	-	NA	NA	1,232,000
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-		-	-	-	NA	NA	-
(c)	Alternate Investment Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Foreign Portfolio Investors Financial Institutions/Banks	60 39	1,937,624 970,488	-		1,937,624 970,488	2.88 1.44	1,937,624 970,488	<u>1,937,624</u> 970,488	2.88		2.88 1.44	-	-	NA	NA	1,937,412 947,497
	LIFE INSURANCE CORPORATION OF INDIA THROUGH ITS VARIOUS ACCOUNTS	2	735,093	-	-	735,093	1.44	735,093	735,093	1.09	-	1.44	-	-	NA	NA	735,093
(g)	Insurance Companies	3	351,629	-	-	351,629	0.52	351,629	351,629	0.52	-	0.52	-	-	NA	NA	351,629
	Provident Funds/Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(i)	Any Other																
	Sub Total (B)(1)	151	11,589,640	-	-	11,589,640	17.24	11,589,640	11,589,640	17.24	-	17.24	-	-	NA	NA	11,566,237
(2)	Central Government / State Government(s) / President of India	3	259,742	-	-	259,742	0.39	259,742	259,742	0.39	-	0.39	-	-	NA	NA	-
	Sub Total (B)(2)	3	259,742	-	-	259,742	0.39	259,742	259,742	0.39	-	0.39	-	-	NA	NA	-
	Non-Institutions																ļ!
(a)	 Individual shareholders holding nominal share capital up to Rs. 2 lakhs 	40,154	6,229,240	-	-	6,229,240	9.27	6,229,240	6,229,240	9.27	-	9.27		-	NA	NA	5,612,819
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	14	522,986	-	-	522,986	0.78	522,986	522,986	0.78	-	0.78		-	NA	NA	425,770
	NBFCs Registered with RBI	5	3,010	-	-	3,010	0.00	3,010	3,010	0.00	-	0.00	-	-	NA	NA	3,010
	Employee Trusts Overseas Depositories (Holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(e)	Any Other																2,497,475
	TRUSTS	7	14,486	-	-	14,486	0.02	14,486	14,486	0.02	-	0.02	-	-	NA	NA	14,486
ļ	NON RESIDENT INDIANS	723	203,716	-	-	203,716	0.30	203,716	203,716	0.30	-	0.30	-	-	NA	NA	201,319
<u>├</u>	CLEARING MEMBERS	68	29,895	-	-	29,895	0.04	29,895	29,895	0.04	-	0.04	-	-	NA	NA	26,453
├ ───┤	FOREIGN BODIES CORPORATE DIRECTORS	1	8,383 13,599	-	-	8,383 13,599	0.01	8,383 13.599	8,383 13,599	0.01	-	0.01	-	-	NA	NA	- 13.415
	BODIES CORPORATES	605	2,250,510	-	-	2.250.510	3.35	2.250.510	2,250,510	3.35	-	0.02	-	-	NA	NA	2.241.780
	BIRLA SUN LIFE INSURANCE COMPANY LIMITED	1	1,299,851	-	-	1,299,851	1.93	1,299,851	1,299,851	1.93		1.93	-	-	NA	NA	1,299,851
	FOREIGN NATIONALS	4	1,299,831	-		1,299,031	0.00	1,299,031	1,299,001	0.00		0.00	-	-	NA	NA	1,299,031
	Sub Total (B)(3)	41,583	9,276,006	_	-	9,276,006	13.80	9,276,006	9,276,006	13.80	-	13.80	-	-			8,539,074
	Total Public Shareholding (B) = $(B)(1)+(B)(2)+(B)(3)$	41,737	21,125,388	-	-	21,125,388	31.42	21,125,388	21,125,388	31.42	-	31.42	-	-	1	1	20,105,311

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of	No of fully paid					Number of Voting Rights held in each class of				No of Shares	Total Shareholding as	Numb	ber of Locked in	Num	ber of Shares	Number of equity
			Shareholders	up equity	paid-up equity	Underlying	Shares Held	% of total no of		securities			Underlying	a % assuming full		Shares	pledged or otherwise		shares held in
				shares held	shares held	Depository	(IV+V+VI)	shares (calculated				Outstanding	conversion of			encumbered		dematerialized	
						Receipts		as per SCRR,1957)					convertible securities	s convertible Securities					form
										0			(Including Warrants)	(as a percentage of					
													-	diluted share capital)					
									No of	/oting Rig	ghts	Total as a % of Total			No.	As a % of total	No.	As a % of total	
									Class X	Class Y	Total	voting rights				Shares held		Shares held	
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX		(X)	(XI)		(XII)		(XIII)	(XIV)
								As % of (A+B+C2)											
(1)	Custodian/DR Holder		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(2)	Employee Benefit Trust (under SEBI(Share based Employee		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Benefit) Regulations 2014)																		
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)	-	-	-	- 1	-		-	-	-	-	-	-	-		NA	NA	-