GE POWER INDIA LIMITED

SHAREHOLDING PATTERN FOR THE QUARTER ENDED 31 DEC 2016

| S. NO. | PARTICULARS | DETAILS |
|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|
| 1 | Name of Listed Entity : | GE POWER INDIA LIMITED |
| 2 | Scrip Code : Name of Scrip : Class of Security : | 532309 GEPIL EQUITY SHARES |
| 3 | Share Holding Pattern Filed under : | REG. 31(1)(b) |
| 4 a b c d e | Declaration Whether any partly paid up shares issued? Whether any Convertible Securities or Warrants issued? Whether there are any shares against which depository receipts: are issued? Whether any shares are in locked-in? Whether any shares held by promoters are pledged or otherwise encumbered? | NO NO NO NO |

5 The tabular format for disclosure of holding of specified securities is enclosed.

Table I - Summary Statement holding of specified securities

| Category | Category of Shareholder | No of | No of fully paid | No of Partly paid- | No of Shares | Total No of | Shareholding as a | Number of Voti | ng Rights held | l in each class | No of Shares | Shareholding as a | Number of Locked in | | Number of Shares | | Number of |
|----------|--------------------------------|--------------|------------------|--------------------|--------------|-------------|--------------------|----------------|----------------------------------|-----------------|------------------------|--------------------|---------------------|-----------------|----------------------|-----------------|----------------|
| | | Shareholders | up equity shares | up equity shares | Underlying | Shares Held | % of total no of | of securities | | | Underlying | % assuming full | Shares | | pledged or otherwise | | equity shares |
| | | | held | held | Depository | (IV+V+VI) | shares (calculated | | | | | conversion of | | | encumbered | | held in |
| | | | | | Receipts | | as per SCRR,1957) | | | | convertible securities | convertible | | | | | dematerialized |
| | | | | | | | | | | | (Including Warrants) | Securities (as a | | | | | form |
| | | | | | | | | | No of Voting Rights Total as a % | | | percentage of | No. | As a % of total | No. | As a % of total | |
| | | | | | | | | Class - Equity | Total | of (A+B+C) | | diluted share | | Shares held | | Shares held | |
| | | | | | | | | Shares | | | | capital) | | | | | |
| (1) | (11) | (111) | (IV) | (V) | (VI) | (VII) | (VIII) | | (IX) | | (X) | (XI) = (VII) + (X) | | (XII) | | (XIII) | (XIV) |
| (1) | (11) | (1117 | (10) | () | ()) | (VII) | As % of (A+B+C2) | | (17) | | | As % of (A+B+C2) | | | | (AIII) | (MV) |
| | | | | | | | A3 70 01 (A+D+C2) | | | | | A3 70 01 (A+D+C2) | | | | | |
| (A) | Promoter & Promoter Group | 2 | 46,102,083 | - | - | 46,102,083 | 68.58 | 46,102,083 | 46,102,083 | 68.58 | - | 68.58 | - | - | - | - | 46,102,083 |
| (B) | Public | 40,712 | 21,125,388 | - | - | 21,125,388 | 31.42 | 21,125,388 | 21,125,388 | 31.42 | - | 31.42 | | - | NA | NA | 20,115,953 |
| (C) | Non Promoter-Non Public | - | - | - | - | - | NA | - | - | - | - | NA | - | - | NA | NA | - |
| (C1) | Shares underlying DRs | - | - | - | - | - | NA | - | - | - | - | NA | - | - | NA | NA | - |
| (C2) | Shares held by Employes Trusts | 1 | ì | - | - | - | - | 1 | 1 | - | - | - | - | - | NA | NA | - |
| | · | | | · | | | | | | | | | | | | | |
| | Total | 40,714 | 67,227,471 | - | - | 67,227,471 | 100.00 | 67,227,471 | 67,227,471 | 100.00 | - | 100.00 | - | - | | | 66,218,036 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Category | Category & Name of the Shareholders | No of Shareholders | No of fully paid up equity shares held | | Underlying | Shares Held | Shareholding % calculated as per SCRR, 1957 (A+B+C2) | | ing Rights held of securities | | No of Shares Underlying Outstanding convertible securities | Shareholding as a % assuming full conversion of convertible Securities (as a | Number of Locked in Shares | | Numb pledged enc | Number of equity shares held in dematerialized form | |
|----------|---------------------------------------------------------------------|-----------------------|----------------------------------------------|-----|------------|-------------|---------------------------------------------------------------|------------------------------------------|----------------------------------|-------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------|---|------------------------|--------------------------------------------------------------|------------|
| | | | | | | | | No of Votion Class - Equity Shares | ng Rights Total | Total as a % of Total voting rights | (Including Warrants) | percentage of diluted share capital) | No. As a % of total Share held | | No. | As a % of total Shares held | |
| | (1) | (111) | (IV) | (V) | (VI) | (VII) | (VIII) | | (IX) | | (X) | (XI) = (VII) + (X) As a % of (A+B+C2) | (XIII) | | (XIII) | | (XIV) |
| (1) | Indian | | | | | | | | | | | | | | | | |
| | Individuals/Hindu undivided Family | | _ | - | | _ | _ | _ | _ | _ | _ | _ | _ | _ | | _ | _ |
| | Central Government/State Government(s) | _ | _ | - | - | _ | _ | _ | - | - | - | _ | - | - | - | - | _ |
| | Financial Institutions/Banks | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) | Any Other | - | - | - | | - | - | - | - | - | | - | - | - | - | - | - |
| | Sub-Total (A)(1) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | | | | | | | | | - | | | | | | | | |
| (2) | Foreign | | | | | | | | - | | | | | | | | |
| | Individuals (Non-Resident Individuals/Foreign Individuals) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Government | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Institutions | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Foreign Portfolio Investor | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Any Other | | | | | | | | | | | | | ļ | | | |
| | ALSTOM FINANCE B.V. (PRESENTLY KNOWN AS ALSTOM INDIA TRACKING B.V.) | 1 | 46,088,294 | - | - | 46,088,294 | 68.56 | 46,088,294 | 46,088,294 | 68.56 | | 68.56 | - | - | - | - | 46,088,294 |
| | GE ENERGY EUROPE B.V. | 1 | 13,789 | - | - | 13,789 | | | 13,789 | 0.02 | - | 0.02 | - | - | - | - | 13,789 |
| | Sub-Total (A)(2) | 2 | 46,102,083 | - | - | 46,102,083 | 68.58 | 46,102,083 | 46,102,083 | 68.58 | - | 68.58 | - | - | - | - | 46,102,083 |
| | Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | 2 | 46,102,083 | - | - | 46,102,083 | 68.58 | 46,102,083 | 46,102,083 | 68.58 | - | 68.58 | - | - | - | - | 46,102,083 |

Table III - Statement showing shareholding pattern of the Public shareholder

| Category | Category & Name of the Shareholders | No of Shareholders | No of fully paid up equity shares held | No of Partly paid-up equity shares held | No of Shares Underlying Depository Receipts | Total No of Shares Held (IV+V+VI) | Shareholding as a % of total no of shares (A+B+C2) | | securities | in each class of | No of Shares Underlying Outstanding convertible securities (Including Warrants) | Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) | | er of Locked in Shares | pledg e | nber of Shares ed or otherwise ncumbered | Number of equity shares held in dematerialized form |
|------------|------------------------------------------------------------------------------------|-----------------------|----------------------------------------------|--------------------------------------------------|------------------------------------------------------|-----------------------------------------|----------------------------------------------------------|----------------------|----------------------|-------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|-----|-----------------------------------|------------|------------------------------------------------|--------------------------------------------------------------|
| | | | | | | | | No of Voti | ng Rights Total | Total as a % of Total Voting Rights | | | No. | As a % of total Shares held | No. | As a % of total Shares held | |
| | | | | | | | | Shares | . otal | gs | | | | | | | |
| | (I) | (111) | (IV) | (V) | (VI) | (VII) | (VIII) | | (IX) | | (X) | (XI) | | (XII) | | (XIII) | (XIV) |
| (1) | 1 | | | | | | | | | | | | | | | | |
| (1) (a) | Institutions Mutual Funds | 40 | 8,111,529 | | | 8,111,529 | 12.07 | 8,111,529 | 8,111,529 | 12.07 | | 12.07 | _ | | NA | NA | 8,111,329 |
| (0) | BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED | | 1,367,501 | - | - | 1,367,501 | 2.03 | 1,367,501 | 1,367,501 | 2.03 | - | 2.03 | - | - | NA | NA NA | 0,111,329 |
| | THROUGH ITS VARIOUS ACCOUNTS | 8 | | | | | | | 3,800,560 | | | | | | | | 1,367,501 |
| | RELIANCE CAPITAL TRUSTEE CO LTD. THROUGH ITS VARIOUS ACCOUNTS | | 3,800,560 | - | - | 3,800,560 | 5.65 | 3,800,560 | | 5.65 | - | 5.65 | - | - | NA | NA | 3,800,560 |
| | SUNDARAM MUTUAL FUND THROUGH ITS VARIOUS ACCOUNTS | 12 | 785,018 | - | - | 785,018 | 1.17 | 785,018 | 785,018 | 1.17 | - | 1.17 | - | - | NA | NA | 785.018 |
| | SBI THROUGH ITS VARIOUS ACCOUNTS | 3 | 1,235,000 | - | - | 1,235,000 | 1.84 | 1,235,000 | 1,235,000 | 1.84 | - | 1.84 | - | - | NA | NA | 1,235,000 |
| (b) | Venture Capital Funds | - | - | - | | - | - | - | - | - | - | - | - | - | NA | NA | - |
| (c) | Alternate Investment Funds | 1 | 71,954 | - | - | 71,954 | 0.11 | 71,954 | 71,954 | 0.11 | - | 0.11 | - | - | NA | NA | 71,954 |
| (d) | Foreign Venture Capital Investors | - | - | - | - | - | - | - | - | - | - | - | - | - | NA | NA | - |
| (e) (f) | Foreign Portfolio Investors Financial Institutions/Banks | 61 39 | 1,974,282 958.472 | - | - | 1,974,282 958.472 | 2.94 1.43 | 1,974,282 958.472 | 1,974,282 958.472 | 2.94 1.43 | - | 2.94 1.43 | - | - | NA | NA | 1,974,070 935,481 |
| (1) | LIFE INSURANCE CORPORATION OF INDIA THROUGH ITS | 2 | 735,093 | - | - | 735,093 | 1.09 | 735,093 | 735,093 | 1.09 | - | 1.09 | - | - | NA NA | NA NA | 735,093 |
| (a) | VARIOUS ACCOUNTS Insurance Companies | 7 | 351,629 | _ | _ | 351629 | 0.52 | 351629 | 351629 | 0.52 | _ | 0.52 | _ | _ | NA | NA | 351,629 |
| (g) (h) | Provident Funds/Pension Funds | | 331,029 | - | - | 331029 | - | 331029 | 331029 | 0.32 | - | 0.32 | - | - | NA | NA | 331,029 |
| (i) | Any Other | | | | | | | | | | | | | | | | |
| | Sub Total (B)(1) | 144 | 11,467,866 | - | | 11,467,866 | 17.06 | 11,467,866 | 11,467,866 | 17.06 | - | 17.06 | - | - | NA | NA | 11,444,463 |
| (2) | Central Government / State Government(s) / President of India | 3 | 259,742 | - | - | 259,742 | 0.39 | 259,742 | 259,742 | 0.39 | - | 0.39 | - | - | NA | NA | - |
| | Sub Total (B)(2) | 3 | 259,742 | - | - | 259,742 | 0.39 | 259,742 | 259,742 | 0.39 | - | 0.39 | - | - | NA | NA | - |
| (3) | Non-Institutions | | | | | | | | | | | | | | | | |
| (a) | i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs | 38,450 | 5,942,339 | - | 1 | 5,942,339 | 8.84 | 5,942,339 | 5,942,339 | 8.84 | - | 8.84 | | - | NA | NA | 5,336,200 |
| | ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs | 9 | 353,176 | - | - | 353,176 | 0.53 | 353,176 | 353,176 | 0.53 | - | 0.53 | | - | NA | NA | 255,960 |
| (b) | NBFCs Registered with RBI | 4 | 14,705 | - | - | 14,705 | 0.02 | 14,705 | 14,705 | 0.02 | - | 0.02 | | - | NA | NA | 14,705 |
| (c) | Employee Trusts | - | - | - | - | - | - | - | - | - | - | - | - | - | NA | NA | - |
| (d) | Overseas Depositories (Holding DRs) (balancing figure) | - | - | - | - | - | - | - | - | - | - | - | - | - | NA | NA | - |
| (e) | Any Other | 2,102 | 3,087,560 | | - | 3,087,560 | | 3,087,560 | 3,087,560 | 4.59 | - | 4.59 | - | - | NA | NA | 3,064,625 |
| | TRUSTS | 7 | 14,486 | - | - | 14,486 | 0.02 | 14,486 | 14,486 | 0.02 | - | 0.02 | - | - | NA | NA | 14,486 |
| | NON RESIDENT INDIANS | 443 | 153,701 | - | - | 153,701 | 0.23 | 153,701 | 153,701 | 0.23 | - | 0.23 | - | - | NA | NA | 151304 |
| | NON RESIDENT INDIANS - NON-REPAT | 227 | 56,960 | - | - | 56,960 | | 56,960 | 56,960 | 0.08 | - | 0.08 | - | - | NA | NA | 56,960 |
| <u> </u> | CLEARING MEMBERS FOREIGN BODIES CORPORATE | 38 | 10,448 8.383 | - | - | 10,448 | 0.02 | 10,448 | 10,448 | 0.02 | - | 0.02 | - | - | NA NA | NA NA | 7,006 |
| - | DIRECTORS | 1 2 | 8,383 13.599 | - | | 8,383 13.599 | 0.01 | 8,383 13.599 | 8,383 13.599 | 0.01 | - | 0.01 | - | - | NA | NA NA | 13,415 |
| — | NON RESIDENT INDIAN NON REPATRIABLE | 227 | 56.960 | - | - | 13,599 56.960 | | 13,599 56.960 | 56,960 | 0.02 | - | 0.02 | - | - | NA | NA NA | 13,415 56,960 |
| | BODIES CORPORATES | 575 | 2,523,867 | _ | - | 2.523.867 | 3.75 | 2,523,867 | 2,523,867 | 3.75 | | 3.75 | - | - | NA | NA | 2,515,497 |
| | BIRLA SUN LIFE INSURANCE COMPANY LIMITED | 1 | 1,007,876 | - | - | 1,007,876 | | 1,007,876 | 1,007,876 | 1.50 | - | 1.50 | - | - | NA | NA | 1,007,876 |
| | RELIANCE NIPPON LIFE INSURANCE COMPANY LIMITED | 2 | 830,853 | - | - | 830,853 | 1.24 | 830,853 | 830,853 | 1.24 | - | 1.24 | - | - | NA | NA | 830,853 |
| | HUF | 805 | 305,935 | - | - | 305,935 | 0.46 | 305,935 | 305,935 | 0.46 | - | 0.46 | - | - | NA | NA | 305,935 |
| | FOREIGN NATIONALS | 4 | 181 | - | - | 181 | | 181 | 181 | 0.00 | - | 0.00 | - | - | NA | NA | 22 |
| | Sub Total (B)(3) | 40,565 | 9,397,780 | - | - | 9,397,780 | | 9,397,780 | 9,397,780 | 13.89 | - | 13.89 | - | - | | | 8,671,490 |
| | Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3) | 40,712 | 21,125,388 | - | - | 21,125,388 | 31.42 | 21,125,388 | 21,125,388 | 31.34 | - | 31.34 | - | - | | | 20,115,953 |

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

| Category | Category & Name of the Shareholder | PAN | No of | No of fully paid | No of Partly | No of Shares | Total No of | Shareholding as a | Number of Voting Rights held in each class of | | | s held in each class of | No of Shares | Total Shareholding as | Number of Locked in | | Numl | ber of Shares | Number of equity |
|-------------------------------------------------------------|---------------------------------------------------------|-------|--------------|------------------|----------------|--------------|-------------|--------------------|-----------------------------------------------|---------|----------------------|-------------------------|------------------------|------------------------|---------------------|-----------------|----------------|-----------------|------------------|
| | | | Shareholders | up equity | paid-up equity | Underlying | Shares Held | % of total no of | securities | | Underlying | a % assuming full | Shares | | pledge | d or otherwise | shares held in | | |
| | | | | shares held | shares held | Depository | (IV+V+VI) | shares (calculated | | | | | Outstanding | conversion of | | | encumbered | | dematerialized |
| | | | | | | Receipts | | as per SCRR,1957) | | | | | convertible securities | convertible Securities | | | | | form |
| | | | | | | | | | | | (Including Warrants) | (as a percentage of | | | | | | | |
| | | | | | | | | | | | | diluted share capital) | | | | | | | |
| | | | | | | | | | | | | | | | | • | | | |
| | | | | | | | | | | | | Total as a % of Total | | | No. | As a % of total | No. | As a % of total | |
| | | | | | | | | | Class X | Class Y | | | | | | Shares held | | Shares held | |
| | (1) | (11) | (111) | (IV) | (V) | (VI) | (VII) | (VIII) | | | (IX) | | (X) | (XI) | | (XII) | | (XIII) | (XIV) |
| | | | | | | | | As % of (A+B+C2) | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | |
| | Custodian/DR Holder | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | NA | NA | - |
| | Employee Benefit Trust (under SEBI(Share based Employee | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | NA | NA | - |
| | Benefit) Regulations 2014) | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | |
| Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C) | | C)(2) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | NA | NA | - |