## GE POWER INDIA LIMITED (FORMERLY ALSTOM INDIA LIMITED) SHAREHOLDING PATTERN FOR THE QUARTER ENDED 31 March 2017

S. NO.

PARTICULARS
DETAILS

1 Name of Listed Entity
2 Scrip Code
Name of Scrip
Class of Security
3 Share Holding Pattern Filed under
4 Declaration
a Whether any partly paid up shares issued? : NO
b Whether any Convertible Securities or Warrants issued? : NO
c Whether there are any shares against which depository receipts: NO are issued?
d Whether any shares are in locked-in? : NO
e Whethere any shares held by promoters are pledged or : NO otherwise encumbered?

5 The tabular format for disclosure of holding of specified securities is enclosed.

Table I - Summary Statement holding of specified securities


Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

| Category | Category \& Name of the Shareholders | $\begin{gathered} \text { No of } \\ \text { Shareholders } \end{gathered}$ | No of fully <br> paid up equity <br> shares held | No of Partly <br> paid-up equity <br> shares held | No of Shares Underlying Receipts | Total No of Shares Held (IV+V+VI) | $\begin{array}{\|c\|} \hline \text { Shareholding } \\ \text { \% calculated } \\ \text { as per SCRR, } \\ 1957(A+B+C 2) \\ \hline \end{array}$ | Number of Voting Rights held in each class of securities |  |  | No of Shares Underlying Outstanding convertible securities (Including Warrants) | Shareholding as a <br> \% assuming full conversion of convertible Securities (as a percentage of diluted share capital) | Number of Locked in Shares |  | Number of Sharespledged or otherwiseencumbered encumbered |  | Number of equity shares held in dematerialized form |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  | $\begin{array}{\|c} \text { No of Voti } \\ \hline \begin{array}{c} \text { Class - Equity } \\ \text { Shares } \end{array} \\ \hline \end{array}$ | $\frac{\text { Rights }}{\text { Total }}$ | $\begin{aligned} & \text { Total as a \% } \\ & \text { of Total } \\ & \text { voting rights } \end{aligned}$ |  |  | No. | $\begin{array}{\|c\|} \hline \text { As a } \% \text { of } \\ \text { total Shares } \\ \text { held } \end{array}$ | No. | $\begin{array}{\|c\|} \hline \text { As a \% of total } \\ \text { Shares held } \end{array}$ |  |
|  | (1) | (III) | (IV) | (V) | (VI) | (VIII) | (VIIII) | (IX) |  |  | (X) | $\begin{array}{c\|} (X I)=(V I I)+(X) \\ \text { As a } \% \text { of }(A+B+C 2) \end{array}$ | (XII) |  | (xIII) |  | (xiv) |
| (1) | Indian |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (a) | Individuals/Hindu undivided Family |  |  |  |  |  |  | - | - | - |  |  |  |  |  |  |  |
| (b) | Central Government/State Government(s) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |  |
| (c) | Financial Institutions/Banks | - | - | - | - | - | - | - | - | - | - | - | - | - |  | - |  |
| (d) | Any Other | - | $-$ | - | - | $-$ | - | - | - | - | - | - | - | - |  | - |  |
|  | Sub-Total (A)(1) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
|  |  |  |  |  |  |  |  |  | - |  |  |  |  |  |  |  |  |
| (2) | Foreign |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (a) | Individuals (Non-Resident Individuals/Foreign Individuals) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | $-$ |  |
| (b) | Government |  |  |  |  |  | - | - | - | - |  | - | - | - |  |  |  |
| (c) | Institutions | - | $-$ | - | $-$ | $-$ | $-$ | - | $-$ | - | - | - | - | - | - | $-$ |  |
| (d) | Foreign Portfolio Investor | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (e) | Any Other |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (i) | ALSTOM FINANCE B.V. (Presently ALSTOM INDIA TRACKING B.V.) |  | 46,088,294 |  |  | 46,088,294 | 68.56 | 46,088,294 | 46,088,294 | 68.56 |  | 68.56 | - |  |  |  | 46,088,294 |
| (ii) | GE ENERGY EUROPE B.V. | 1 | 13,789 | - | - | 13,789 | 0.02 | 13,789 | 13,789 | 0.02 | - | 0.02 | - | - | - | - | 13,789 |
|  | Sub-Total (A)\|2) | 2 | 46,102,083 | - | - | 46,102,083 | 68.58 | 46,102,083 | 46,102,083 | 68.58 | - | 68.58 | - | - | - | - | 46,102,083 |
|  | Total Shareholding of Promoter and Promoter Group $(\mathrm{A})=(\mathrm{A} \mid 11+(\mathrm{A} \mid(2)$ |  | 46,102,083 | - | - | 46,102,083 | 68.58 | 46,102,083 | 46,102,083 | 68.58 | - | 68.58 | - | - | - | - | 46,102,083 |

Table III - Statement showing shareholding pattern of the Public shareholder

| Category | Category \& Name of the Shareholders | $\begin{gathered} \hline \text { No of } \\ \text { Shareholders } \end{gathered}$ | $\begin{array}{\|c\|} \hline \text { No of fully paid } \\ \text { up equity } \\ \text { shares held } \end{array}$ | $\begin{array}{\|c\|} \hline \text { No of Partly } \\ \text { paid-up } \\ \text { equity shares } \\ \text { held } \end{array}$ | No of Shares Underlying Depository Receipts | $\begin{aligned} & \text { Total No of } \\ & \text { Shares Held } \\ & \\|V+V+V I\\| \end{aligned}$ | Shareholding as a \% of total no of shares (A+B+C2) | Number of Voting Rights held in each class of securities |  |  | No of Shares Underlying Outstanding convertible securities llncluding Warrants) | $\left\|\begin{array}{c}\text { Shareholding as a } \\ \text { \% assuming full } \\ \text { conversion of } \\ \text { convertible } \\ \text { Securities las a } \\ \text { percentage of } \\ \text { diluted share } \\ \text { capital) }\end{array}\right\|$ | Number of Locked in Shares |  | $\begin{array}{\|c} \text { Number of Shares } \\ \text { pledged or otherwise } \\ \text { encumbered } \end{array}$ |  | Number of equity shares held in dematerialized form |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | (I) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) |  |  | (X) | (XI) |  | (XII) |  | (XIII) | (XIV) |
| (1) | Institutions |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (a) | Mutual Funds | 41 | 8,946,648 |  |  | 8,946,648 | 13.31 | 8,946,648 | 8,946,648 | 13.31 |  | 13.31 | - |  | NA | NA | 8,946,448 |
|  | BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED THROUGH ITS VARIOUS ACCOUNTS | 6 | 1,367,501 | - | - | 1,367,501 | 2.03 | 1,367,501 | 1,367,501 | 2.03 |  | 2.03 | - | - | NA | NA | 1,367,501 |
|  | RELIANCE CAPITAL TRUSTEE CO LTD. THROUGH ITS VARIOUS ACCOUNTS | 13 | 5,579,260 | - | - | 5,579,260 | 8.30 | 5,579,260 | 5,579,260 | 8.30 | - | 8.30 | - | - | NA | NA | 5,579,260 |
|  | SBI MAGNUM GLOBAL FUND | 1 | 900,000 | - | - | 900,000 | 1.34 | 900,000 | 900,000 | 1.34 | - | 1.34 | - | - | NA | NA | 900,000 |
| (b) | Venture Capital Funds | - |  |  |  |  |  |  |  |  |  |  | - |  | NA | NA |  |
| (c) | Alternate Investment Funds | 1 | 101,954 | - | - | 101,954 | 0.15 | 101,954 | 101,954 | 0.15 | - | 0.15 | - | - | NA | NA | 101,954 |
| (d) | Foreign Venture Capital Investors | - |  | - | - |  |  |  |  |  | - |  | - |  | NA | NA |  |
| (e) | Foreign Portfolio Investors | 42 | 1,368,813 | - | - | 1,368,813 | 2.04 | 1,368,813 | 1,368,813 | 2.04 | - | 2.04 | - | - | NA | NA | 1.368,601 |
| (f) | Financial Institutions/Banks | 38 | 960,744 | - |  | 960,744 | 1.43 | 960,744 | 960,744 | 1.43 |  | 1.43 | - |  | NA | NA | 937,753 |
|  | LIFE INSURANCE CORPORATION OF INDIA THROUGH ITS VARIOUS ACCOUNTS | 2 | 735,093 | - | - | 735,093 | 1.09 | 735,093 | 735,093 | 1.09 | - | 1.09 | - | - | NA | NA | 735,093 |
| (g) | Insurance Companies | 3 | 351,629 | - | - | 351,629 | 0.52 | 351.629 | 351,629 | 0.52 | - | 0.52 | - | - | NA | NA | 351.629 |
| (h) | Provident Funds/Pension Funds | - | - - | - | - | , |  | - | - | - | - |  | - | - | NA | NA |  |
| (i) | Any Other |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Sub Total (B\|1) | 125 | 11,729,788 | - | - | 11,729,788 | 17.45 | 11,729,788 | 11,729,788 | 17.45 | - | 17.45 | - | - | NA | NA | 11,706,385 |
| (2) | Central Government / State Government(s) / President of India | 3 | 259.742 | - | - | 259,742 | 0.39 | 259,742 | 259,742 | 0.39 | - | 0.39 | - | - | NA | NA |  |
|  | Sub Total (B]\|2) | 3 | 259,742 | - | - | 259,742 | 0.39 | 259,742 | 259,742 | 0.39 | - | 0.39 | - | - | NA | NA | - |
| (3) | Non-Institutions |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| (a) | i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs Rs. 2 lakhs | 37878 | 5,937,835 | - | - | 5,937,835 | 8.83 | 5,937,835 | 5,937,835 | 8.83 | - | 8.83 |  | - | NA | NA | 5,342,459 |
|  | ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs | 9 | 353,112 | - | - | 353,112 | 0.53 | 353,112 | 353,112 | 0.53 | - | 0.53 |  | - | NA | NA | 255,896 |
| (b) | NBFCs Registered with RBI | 4 | 17,613 | - | - | 17,613 | 0.03 | 17,613 | 17,613 | 0.03 | - | 0.03 | - | - | NA | NA | 17,613 |
| (c) | Employee Trusts | - | - | - | - | - | - | - |  | - | - | - | - | - | NA | NA |  |
| (d) | Overseas Depositories (Holding DRs) (balancing figure) | - | - | - | - | - | - | - | - | - | - | - | - | - | NA | NA |  |
| (e) | Any Other |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 2,408,591 |
|  | TRUSTS | 7 | 14.486 | - | - | 14.486 | 0.02 | 14,486 | 14.486 | 0.02 | - | 0.02 | - | - | NA | NA | 14.486 |
|  | NON RESIIEENT INDIANS | 400 | 118.436 | - | - | 118.436 | 0.18 | 118.436 | 118,436 | 0.18 | - | 0.18 | - | - | NA | NA | 116.039 |
|  | CLEARING MEMBERS | 41 | 14.953 | - | - | 14.953 | 0.02 | 14,953 | 14.953 | 0.02 | - | 0.02 | - | - | NA | NA | 11,511 |
|  | FOREIGN BODIES CORPORATE | 1 | 8,383 | - | - | 8,383 | 0.01 | 8.383 | 8,383 | 0.01 | - | 0.01 | - | - | NA | NA |  |
|  | DIRECTORS | 2 | 13,599 | - | - | 13,599 | 0.02 | 13,599 | 13,599 | 0.02 | - | 0.02 | - | - | NA | NA | 13.415 |
|  | NON RESIDENT INOIAN NON REPATRIABLE | 238 | 62,167 |  |  | 62,167 | 0.09 | 62,167 | 62,167 | 0.09 | - | 0.09 | - | - | NA | NA | 62,167 |
|  | BODIES CORPORATES | 584 | 2,261,488 | - | - | 2,261,488 | 3.36 | 2,261,488 | 2,261,488 | 3.36 | - | 3.36 | - | - | NA | NA | 2,253,118 |
|  | BIRLA SUN LIFE INSURANCE COMPANY LIMITED |  | 758,416 | $-$ | $-$ | 758.416 | 1.13 | 758,416 | 758,416 | 1.13 | - | 1.13 | - | - | NA | NA | 758,416 |
|  | RELLANCE NIPPON LIFE INSURANCE COMPANY LIMITED | 1 | 839,410 | - | $-$ | 839.410 | 1.25 | 839,410 | 839.410 | 1.25 | - | 1.25 | $-$ | - | NA | NA | 839,410 |
|  | HUF | 824 | 333,605 | - | - | 333.605 | 0.50 | 333,605 | 333.605 | 0.50 | - | 0.50 | - | - | NA | NA | 333,605 |
|  | FOREIGN NATIONALS | 4 | 181 | - | - | 181 | 0.00 | 181 | 181 | 0.00 | - | 0.00 | - | - | NA | NA | 22 |
|  | Sub Total ( $\mathrm{B} \mid 3 \mathrm{l}$ 3) | 39,992 | 9,135,858 | - | - | 9,135,858 | 13.59 | 9,135,858 | 9,135,858 | 13.59 | - | 13.59 | - | - |  |  | 8,420,331 |
|  | Total Public Shareholding ( $B 1=(\mathrm{B} \mid 11)+(\mathrm{B} \mid 21)+(\mathrm{B} \mid 31$ | 40,120 | 21,125,388 | - | - | 21,125,388 | 31.42 | 21,125,388 | 21,125,388 | 31.42 | - | 31.42 | - | - |  |  | 20,126,716 |

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

| Category | Category \& Name of the Shareholder | PAN | $\begin{array}{\|c\|} \hline \text { No of } \\ \text { Shareholders } \end{array}$ | $\begin{gathered} \text { No of fully paid } \\ \text { up equity } \\ \text { shares held } \end{gathered}$ | No of Partly <br> poid-up equity <br> shares held | $\begin{array}{\|l\|} \hline \text { No of Shares } \\ \text { Underlying } \\ \text { Depository } \\ \text { Receipts } \end{array}$ | $\begin{array}{\|c\|c\|} \hline \text { Total No of } \\ \text { Shares Held } \\ (\\|V+V+V\\|) \end{array}$ | Shareholding os a\% of total no ofshares (Iacculutedas per SCRR, 1957 ) | Number of Voting Rights held in each class of securities |  |  |  | No of Shares <br> Underlying <br> Outstanding <br> convertible securities <br> (Including Warrants) | Total Shareholding as a \% assuming full conversion of convertible Securities las a percentage of diluted share capital) | Number of Locked in Shares |  | Number of Sharespledged or otherwiseencumbered |  | Number of equity shares held in dematerialized form |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  | No of <br> Class X | Voting C Class Y | Sights | Total as a \% of Total voting rights |  |  | No. | $\left.\begin{array}{\|c\|c\|} \hline \text { As a o of total } \\ \text { Shares held } \end{array} \right\rvert\,$ | No. | $\begin{array}{\|c\|} \hline \text { As a } \% \text { of total } \\ \text { Shares held } \end{array}$ |  |
|  | (1) | (III) | (IIII) | (IV) | (v) | (V1) | (VIII) | $\begin{array}{c\|} \text { (VIII) } \\ \text { As of }(A+B+C 2) \\ \hline \end{array}$ |  |  | (IX) |  | (X) | (XI) |  | (XIII) |  | (xIIII) | (xiv) |
| (1) | Custodian/DR Holder |  |  | - | - |  | - |  | - | - | - | - |  |  | $-$ |  | NA | NA |  |
| (2) | Employee Benefit Trust (under SEBIIShare based Employee |  | - | - |  |  | - |  | - | - | - | - | - |  | - |  | NA | NA |  |
|  | Total Non-Promoter-Non Public Shareholding (C) = (C)\|11+ |  | - | - | - | - | - | - | - | - | - | - | - | - | - | - | NA | NA |  |

