ALSTOM INDIA LIMITED

SHAREHOLDING PATTERN FOR THE QUARTER ENDED 31 DECEMBER 2015

S. NO.	PARTICULARS		DETAILS
1	Name of Listed Entity	:	ALSTOM INDIA LIMITED
2	Scrip Code Name of Scrip Class of Security	:	532309 AIL EQUITY SHARES
3	Share Holding Pattern Filed under	:	REG. 31(1)(b)
4	Declaration		
а	Whether any partly paid up shares issued?	:	NO
b	Whether any Convertible Securities or Warrants issued?	:	NO
С	Whether there are any shares against which depository receipts are issued?	:	NO
d	Whether any shares are in locked-in?	:	NO
е	Whethere any shares held by promoters are pledged or otherwise encumbered?	:	NO

5 The tabular format for disclosure of holding of specified securities is enclosed.

Table I - Summary Statement holding of specified securities

Category							Shareholding as a			d in each class	No of Shares Underlying	Shareholding as a			Number of Shares pledged or otherwise		Number of
		Snarenolaers	equity shares held	held	Depository	Shares Held (IV+V+VI)	% of total no of shares (calculated		of securities			% assuming full conversion of		Shares		ncumbered	equity shares held in
				neiu	Receipts	(10+0+01)	as per SCRR,1957)	í l			Outstanding convertible securities	convertible			e	icumbered	dematerialized
					Receipts		us per 5000,15577				(Including Warrants)	Securities (as a					form
								No of Voting Rights Total as a %				percentage of	No.	As a % of total	No.	As a % of total	
								Class - Equity				diluted share		Shares held		Shares held	
								Shares	Shares			capital)					
(1)	(11)	(111)	(IV)	(V)	(VI)	(VII)	(VIII)		(IX)		(X)	(XI) = (VII) + (X)		(XII)		(XIII)	(XIV)
							As % of (A+B+C2)					As % of (A+B+C2)					
(A)	Promoter & Promoter Group	1	46,088,294	-	-	46,088,294	68.56	46,088,294	46,088,294	68.56	-	68.56	-	-	-	-	46,088,294
(B)	Public	43,203	21,139,177	-	-	21,139,177	31.44	21,139,177	21,139,177	31.44	-	31.44	-	-	NA	NA	20,095,126
(C)	Non Promoter-Non Public	-	-	-	-	-	NA	-	-	-	-	NA	-	-	NA	NA	-
(C1)	Shares underlying DRs	-	-	-	-	-	NA	-	-	-	-	NA	-	-	NA	NA	-
(C2)	Shares held by Employees Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Total	43,204	67,227,471	-	-	67,227,471	100.00	67,227,471	67,227,471	100.00	-	100.00	-	-			66,183,420

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Catagon	Category & Name of the Shareholders	No of	No of fully		No of Charge	Total No.of	Shareholding	Number of Vol	ing Dights hal	in each alace	No of Shares	Shareholding as a	Number of Locked in		Number of Shares		Number of
Category	Category & Name of the Shareholders							Number of Vol		i in each class			Shares		pledged or otherwise encumbered		
		Shareholders		paid-up equity			% calculated		of securities		Underlying	% assuming full					equity shares held in dematerialized form
			shares held	shares held	Depository	(IV+V+VI)	as per SCRR,				Outstanding	conversion of					
					Receipts		1957 (A+B+C2)				convertible	convertible					
											securities	Securities (as a					
								No of Voting Rights		Total as a %	(Including	percentage of	No.	As a % of	No.		1
								Class - Equity	Total	of Total	Warrants)	diluted share		total Shares		Shares held	
								Shares		voting rights		capital)		held			
	(I)	(111)	(IV)	(V)	(VI)	(VII)	(VIII)		(IX)		(X)	(XI) = (VII) + (X)	(XII)		(XIII)		(XIV)
												As a % of (A+B+C2))				
									1					1			
(1)	Indian			1													
(a)	Individuals/Hindu undivided Family	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Central Government/State Government(s)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions/Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
									-								
(2)	Foreign								-								
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b)	Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
(d)	Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-		-	-
(e)	Any Other																
	ALSTOM FINANCE BV	1	46,088,294	-	-	46,088,294	68.56	46,088,294	46,088,294	68.56	-	68.56	-	-	-	-	46,088,294
									-								
	Sub-Total (A)(2)	1	46,088,294	-	-	46,088,294	68.56	46,088,294	46,088,294	68.56	-	68.56	-	-	-	-	46,088,294
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)	1	46,088,294	-	-	46,088,294	68.56	46,088,294	46,088,294	68.56	-	68.56	-	-	-	-	46,088,294

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholders	No of Shareholders	No of fully paic up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voti	ing Rights held securities	in each class of	No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Numb	er of Locked in Shares	pledg	nber of Shares ed or otherwise ncumbered	Number of equity shares held in dematerialized form
								No of Votir	ig Rights	Total as a % of Total Voting			No.	As a % of total Shares held	I No.	As a % of total Shares	
								Class - Equity Shares	Total	Rights						held	
	(1)	(111)	(IV)	(V)	(VI)	(VII)	(VIII)		(IX)		(X)	(XI)		(XII)	(XIII)	(XIV)	
(1)	Institutions Mutual Funda	50	0 452 750			0 (50 750	10.57	0 / 52 750	0 / 50 750	10.57		10.57			A 1 A		0.450.550
(a)	Mutual Funds	58	8,452,759 1,379,033	-	-	8,452,759 1,379,033	12.57 2.05	8,452,759 1,379,033	8,452,759 1,379,033	12.57 2.05	-	12.57 2.05	-	-	NA	NA	8,452,559 1,379,033
	BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED THROUGH ITS VARIOUS ACCOUNTS	0	1,579,055	-	-	1,379,033	2.05	1,379,033	1,579,055	2.05	-	2.05	-	-	INA	INA	1,579,055
	RELIANCE CAPITAL TRUSTEE CO LTD. THROUGH ITS	10	3,868,140	-	-	3,868,140	5.75	3,868,140	3,868,140	5.75		5.75	-		NA	NA	3,868,140
	VARIOUS ACCOUNTS	10	5,000,140		-	5,000,140	5.75	5,000,140	5,000,140	5.75	-	5.75		1			5,000,140
	SUNDARAM MUTUAL FUND THROUGH ITS VARIOUS	14	1,165,497	-	-	1,165,497	1.73	1,165,497	1,165,497	1.73	-	1.73	-	-	NA	NA	1,165,497
	ACCOUNTS																
	SBI THROUGH ITS VARIOUS ACCOUNTS	4	1,232,944	-	-	1,232,944	1.83	1,232,944	1,232,944	1.83	-	1.83	-	-	NA	NA	1,232,944
(b)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(c)	Alternate Investment Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(d)	Foreign Venture Capital Investors	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Foreign Portfolio Investors	56	1,557,095	-	-	1,557,095	2.32	1,557,095	1,557,095	2.32	-	2.32	-	-	NA	NA	1,556,883
(f)	Financial Institutions/Banks	40	957,468	-	-	957,468	1.42	957,468	957,468	1.42	-	1.42	-	-	NA	NA	934,477
	LIFE INSURANCE CORPORATION OF INDIA THROUGH ITS	2	735,093	-	-	735,093	1.09	735,093	735,093	1.09	-	1.09	-	-	NA	NA	735,093
	VARIOUS ACCOUNTS																
	Insurance Companies	3	351,629	-	-	351,629	0.52	351,629	351,629	0.52	-	0.52	-	-	NA	NA	351,629
	Provident Funds/Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Any Other						46.01					46.04					44.005.510
	Sub Total (B)(1)	157	11,318,951	-	-	11,318,951	16.84	11,318,951	11,318,951	16.84	-	16.84	-	-	NA	NA	11,295,548
(2)	Central Government / State Government(s) / President of India	3	259,742	-	-	259,742	0.39	259,742	259,742	0.39	-	0.39	-	-	NA	NA	-
	Sub Total (B)(2)	3	259.742	-		259.742	0.39	259.742	259.742	0.39		0.39	-	· .	NA	NA	
	Non-Institutions	3	259,742	-	-	259,742	0.59	259,742	259,742	0.39	-	0.39	-	-	NA	NA	-
(3) (a)	i.Individual shareholders holding nominal share capital	41,456	6,520,138	-	-	6,520,138	9.70	6,520,138	6,520,138	9.70		9.70		-	NA	NA	5,880,062
107	up to Rs. 2 lakhs	41,450	0,520,150		-	0,520,150	5.10	0,520,150	0,520,150	5.10	-	5.10		1			3,000,002
	ii.Individual shareholders holding nominal share capital in	13	532,875	-	-	532,875	0.79	532,875	532,875	0.79	-	0.79		-	NA	NA	435,659
	excess of Rs. 2 Lakhs	10	,010			,015	5.15	,010	,010	5.15		0.15		1	1	1	,
(b)	NBFCs Registered with RBI	7	6,231	-	-	6,231	0.01	6,231	6,231	0.01	-	0.01	-	-	NA	NA	6,231
(c)	Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(d)	Overseas Depositories (Holding DRs) (balancing figure)	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(e)	Any Other																
	TRUSTS	6	5,287	-	-	5,287	0.01	5,287	5,287	0.01	-	0.01	-	-	NA	NA	5,287
	NON RESIDENT INDIANS	722	207,859	-	-	207,859	0.31	207,859	207,859	0.31	-	0.31	-	-	NA	NA	205,376
	CLEARING MEMBERS	151	46,495	-	-	46,495	0.07	46,495	46,495	0.07	-	0.07	-	-	NA	NA	43,053
	DIRECTORS	2	13,599	-	-	13,599	0.02	13,599	13,599	0.02	-	0.02	-	-	NA	NA	13,415
	FOREIGN BODIES CORPORATES	1	8,383	-	-	8,383	0.01	8,383	8,383	0.01	-	0.01	-	-	NA	NA	-
	BODIES CORPORATES	679	2,219,236	-	-	2,219,236	3.30	2,219,236	2,219,236	3.30	-	3.30	-	-	NA	NA	2,210,273
	BIRLA SUN LIFE INSURANCE COMPANY LIMITED	1	1,410,270	-	-	1,410,270	2.10	1,410,270	1,410,270	2.10	-	2.10	-	-	NA	NA	1,410,270
	FOREIGN NATIONALS	6	381	-	-	381	0.00	381	381	0.00		0.00	-	-	NA	NA	222
	Sub Total (B)(3)	43,043	9,560,484	-	-	9,560,484	14.22	9,560,484	9,560,484	14.22	-	14.22	-	-	L	1	8,799,578
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	43,203	21,139,177	-	-	21,139,177	31.44	21,139,177	21,139,177	31.44	-	31.44	-	-			20,095,126

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category		No of Shareholders		No of Partly paid-up equity shares held		Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR,1957)					Underlying Outstanding convertible securities (Including Warrants)	Underlying a % assuming full Outstanding conversion of nvertible securities		er of Locked in Shares	pledged or otherwise encumbered		Number of equity shares held in dematerialized form
									Voting Ri Class Y		Total as a % of Total voting rights			No.	As a % of total Shares held	No.	As a % of total Shares held	
	(1)	(111)	(IV)	(V)	(VI)	(VII)	(VIII) As % of (A+B+C2)		(IX)			(X)	(XI)		(XII)		(XIII)	(XIV)
	Custodian/DR Holder	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)	-	-	-	-	-	-	-	-	-	-	-	-	i.	-	NA	NA	-
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+	-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-